

EXPRESS MAIL NO.  
EV 416 671 129 US

PTO/SB/05 (08-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	41722-P001US
	First Inventor	Chris A. Peirson
	Title	ONLINE SETTLEMENT STATEMENT AND FUNDING CONTROL SYSTEM
	Express Mail Label No.	EV 416 671 129 US

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>67</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>47</u>]</p> <p>5. Oath or Declaration [Total Sheets <u>4</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....

Prior application information:

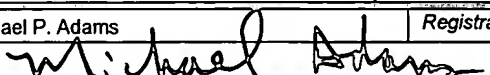
Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☐ Customer Number:  OR ☒ Correspondence address below

Name	Michael P. Adams						
Address	Winstead Sechrest & Minick P.C. P.O. Box 50784, 400 North Ervay Street						
City	Dallas	State	Texas	Zip Code	75201		
Country	USA	Telephone	(512) 370-2858	Fax	(512) 370-2850		
Name (Print/Type)	Michael P. Adams			Registration No. (Attorney/Agent)	34,763		
Signature				Date	November 21, 2003		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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EXPRESS MAIL NO.  
EV 416 671 129 US

Docket No.: 41722-P001US

November 21, 2003

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Enclosed herewith for filing is a patent application, as follows:

Title: ONLINE SETTLEMENT STATEMENT AND FUNDING CONTROL SYSTEM AND METHOD

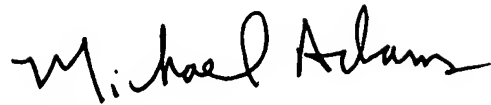
X Return Receipt Postcard  
X This Transmittal Letter (in duplicate)  
1 page(s) - Utility Patent Application Transmittal  
67 page(s) - Specification (including Claims & Abstract)  
47 sheet(s) - of Drawings  
4 page(s) - Declaration For Patent Application and Power of Attorney  
1 page(s) - Recordation Form Cover Sheet (in duplicate)  
3 page(s) - Assignment  
1 page - NonPublication Request  
X Firm check in the amount of \$430.00

☒ Applicant(s) assert(s) entitlement to small entity status for the attached patent application

**CLAIMS AS FILED (fees computed under 37 CFR §1.9(f))**

	Number			Number				Basic Fee
<u>For</u>	<u>Filed</u>			<u>Extra</u>		<u>Rate</u>	\$	
Total Claims	25	-20	=	5	x	\$9.00	=	\$ 45.00
Independent Claims	3	-3	=	0	x	\$43.00	=	\$ 0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application							\$
<input type="checkbox"/>	Fee for Request for Extension of Time							\$
<input checked="" type="checkbox"/>	Check for total fee for filing the patent application is enclosed in the amount of							\$ 430.00
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees associated with this communication which may be required or credit any overpayment to Deposit Account No. 23-2426 (41722-P001US).							

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael Adams". The signature is fluid and cursive, with the first name "Michael" written in a larger, more prominent script than the last name "Adams".

Michael P. Adams  
Attorney for Applicant(s)  
Reg. No. 34,763

MPA:wk  
Enclosure(s)

AUSTIN\_1\237149\1  
41722-P001US 11/21/2003

**EXPRESS MAIL NO.  
EV 416 671 129 US**

PTO/SB/35 (05-03)

Approved for use through 4/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Chris A. Peirson
	Title	ONLINE SETTLEMENT STATEMENT AND FUNDING CONTROL SYSTEM AND METHOD	
	Attorney Docket Number		41722-P001US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 21, 2003

Date



Signature

(512) 370-2858

Telephone number

Michael P. Adams

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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